



# High prevalence of sternal foramina in indigenous Bolivians compared to Midwest Americans and indigenous North Americans (sternal foramina in indigenous Bolivians)

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Received: 5 February 2021 / Accepted: 15 May 2021  
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## Abstract

The sternal foramen, usually an asymptomatic osteological defect, can lead to catastrophic consequences if not recognized prior to certain medical procedures. This study reports the prevalence of a sternal foramen in two South Amerindian populations compared with other published populations. We evaluated the presence of sternal foramina using thoracic computed tomography scans of 1334 (48% female) participants from two indigenous populations of Bolivia ( $n = 900$  Tsimane, 434 Moseten). The prevalence of sternal foramina was compared to two U.S. populations of similar sex/age distribution ( $n = 572$  Midwest Americans, 131 self-identified Native North Americans) via similar CT scans. A sternal foramen was significantly more common in the two Bolivian populations (prevalence ranging from 12.8 to 13.4%), compared to 4.4–5.1% in the two U.S. groups, consistent with prior estimates in studies from industrialized populations. Males had higher frequency of a sternal foramen compared to females in each of the four groups (OR = 1.904, 95% CI: 1.418–2.568,  $p < 0.001$ ). Age was not associated with sternal foramen presence. These data show both a higher rate of sternal foramina in the South Amerindian populations versus comparator populations in North America and the highest rate of any studied living population. Although it is not possible to determine from our data the relative contribution of genetics versus early life or environmental causes to the higher rates of sternal foramen, we note that small prior studies have likewise demonstrated a higher prevalence in lower income countries. Further determination of the contributing factors warrants greater investigation and research.

**Keywords** Comparator · Computed tomography · Indigenous · Moseten · Sternal foramen · Tsimane

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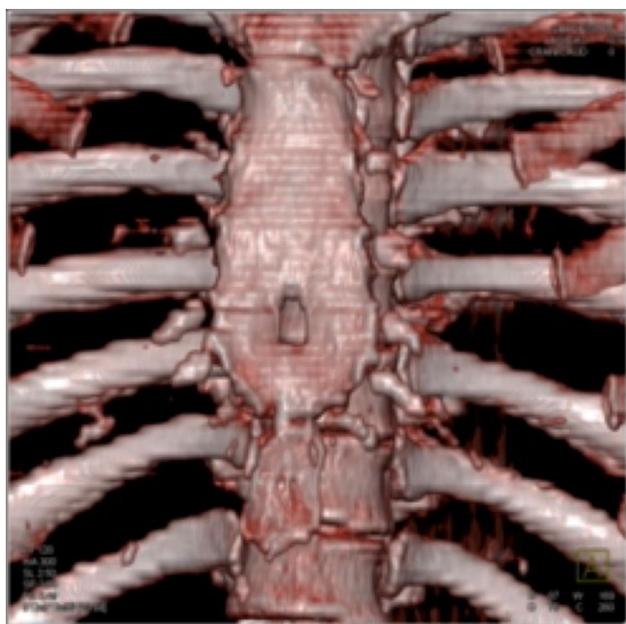
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## Introduction

An oval defect in the body of the sternum is known as a sternal foramen (Fig. 1) and very rarely causes symptoms. However, when unrecognized, it can lead to severe injuries during certain medical procedures such as bone marrow aspiration, biopsy, or acupuncture of the sternum (Babinski et al. 2015, El-Busaid et al. 2012, Gkantsinikoudis et al. 2017, Gossner, 2013, Kirum 2017, Kuzucuoglu and Albayrak 2020, Turkay et al. 2017, Vulovic 2019, Yekeler et al. 2006). If not considered during imaging or autopsy examination, a sternal foramen can be misidentified as an osteolytic lesion or as the result of previous penetrating trauma (Babinski et al. 2015; El-Busaid et al. 2012; Gkantsinikoudis et al. 2017; Kirum 2017; Turkay et al. 2017; Yekeler et al. 2006). A sternal foramen results from an imperfect process of mesenchymal bands joining after growing circumferentially around the chest cavity to form ribs or in the subsequent chondrification and ossification of the mesenchymal tissue to form the sternal bone (Ashley 1956; Bayarogullari et al. 2014; Choi et al. 2017; Cooper et al. 1988; Moore et al. 2020). The prevalence of a sternal foramen is generally reported to be between 4.5 and 8.4% in large autopsy and chest computed tomography (CT) scan studies from adults in industrialized countries (Gossner, 2013; Kuzucuoglu and Albayrak 2020; Peuker et al. 1999; Turkay et al. 2017; Vulovic 2019; Yekeler et al. 2006). Recently, the Tsimane Health & Life History Project (THLHP) and the HORUS Study Teams performed extensive evaluation, including chest CT scanning, of two



**Fig. 1** Volume-rendered CT scan image showing a sternal foramen in the body of the sternum in a Tsimane male participant

genetically related South Amerindian populations, Tsimane and Mosenen, both residing in central Bolivia (Kaplan 2017).

The Tsimane people live in remote villages without running water or modern sanitation and obtain over 90% of their food from small-scale horticulture, fishing, and hunting (Kraft et al. 2018; Gurven 2017). The Mosenen previously lived a similar subsistence lifestyle as the Tsimane until the last half century as a result of greater infrastructure changes in the region they inhabit. Intensive exposure to Jesuit missionaries since the eighteenth century promoted integration of Mosenen into broader Bolivian society; all Mosenen speak Spanish (unlike Tsimane), are more market-integrated than Tsimane (with greater reliance on wage labor and consumption of market goods), and have access to indoor plumbing and electricity. While evaluating CT scans performed on these individuals for cardiovascular and pulmonary studies, we noticed the frequent occurrence of sternal foramina (Kaplan 2017). This observation motivated a more formal assessment of sternal foramina prevalence in these two Bolivian Amerindian populations. To contextualize the Bolivian results, we compare sternal foramina prevalence among patients from North America evaluated using similar CT methods.

Tsimane and Mosenen are provocative study populations in the evaluation of sternal foramina prevalence and its contributing factors: the two populations are genetically related but vary in lifestyle and ecology. Although, the environment and nutrition of adult Mosenen who are currently 40–90 years old was very similar to the Tsimane when they were in utero and small children, the current Mosenen environment is intermediate between the traditional Tsimane lifestyle and urban industrialized populations and there is potential for maternal/postnatal nutritional deficits and diverse infections—each of which may affect growth (child) or maintenance (mother). The Tsimane and Mosenen also both have overall low energy surplus (from high physical activity levels relative to consumption, and high parasite and pathogen load). Prior studies show compromised bone strength among Tsimane compared to industrialized populations (Stieglitz et al. 2014; Stieglitz 2019). This natural experiment provides the opportunity to evaluate whether early life environmental factors might ‘write the script’ for later life conditions and contribute to intergenerational patterns.

## Materials and methods

Non-contrast, gated chest CT scans were performed as part of the THLHP on 900 Tsimane (48.4% female) and 434 Mosenen (46.3% female) individuals (Kaplan 2017). The CT scans were initially performed for evaluation of coronary atherosclerosis, and after frequent sternal defects

were noticed, all CT scans were systematically evaluated for sternal foramina. For a comparator population, 572 (49.8% female) patients who had self-referred for coronary calcium scoring at St. Luke's Mid-America Heart Institute (MAHI) of Kansas City and had undergone similarly performed gated chest CT scans were randomly selected from the MAHI database, and the CT images of the sternum for each patient were similarly reviewed for defects by the same physicians (BDG, ADN, RCT). Patients in this database did not have established coronary heart disease and were either self-referred for a screening coronary calcium score ( $\approx 65\%$ ), were referred for calcium score screening by their physician because of cardiac concerns such as a positive family history ( $\approx 10\%$ ), or the calcium score imaging was obtained as part of a diagnostic myocardial perfusion imaging examination ordered for possible cardiac symptoms ( $\approx 25\%$ ). This "Midwest American" population is a self-reported 89% white, 10% African American, and 1% Asian, with less than 1% Native American, roughly mirroring the population of the Kansas City metropolitan area. To compare a group that might be more genetically similar to the South Amerindians, we further searched the MAHI database and identified 131 patients (60.3% female) who self-identified as Native North American and had undergone CT coronary calcium scoring, and we included their images as another comparator group, labeled as "Native American" population.

The details of the gated CT scan acquisition for the Tsimane and Mosenen populations are previously described (Kaplan 2017; Thompson 2005). In brief, Tsimane and Mosenen subjects were transported by THLHP personnel from their villages to the regional town of Trinidad, Bolivia, where they underwent ECG-gated CT imaging from the apex of the lungs to just below the caudal edge of the heart on a GE Brightspeed 16 slice scanner (Milwaukee, WI, USA) (kV 120, variable mA, 2.5 mm thickness with 50% overlap) between July 2014 and October 2018. The North American comparator populations had undergone CT scanning for coronary calcium scoring using standard calcium scoring CT protocols similar to the protocol used for scanning the Tsimane and Mosenen, generally on Siemens CT scanners (Erlangen, Germany) of various vintages. All images from the study population and the comparator population were reviewed on a Siemens Healthineers syngo.via workstation. The CT images of the sternum were examined on volume-rendered reconstructions and cross-sectional images by investigators (BDG, ADN, RCT) and all sternal defects were confirmed by re-review by the senior author (RCT). Sternal foramen was considered present if a complete anterior-to-posterior defect was identified on volume-rendered and multiplanar reformatted CT images that was greater than 2 mm in diameter.

Logistic regression models were used to assess the differences in sternal foramen prevalence between populations,

while controlling for age and sex. All reported analyses were conducted in STATA 15.

This study was approved by the University of New Mexico and University of California, Santa Barbara human subjects review committees and by the Institutional Review Board of St. Luke's Hospital of Kansas City. Written informed consent was obtained from all Tsimane and Mosenen participants after having had the procedure and risks explained to them in their respective native language; approval was also granted at the village level, and by each tribal government (Gran Consejo Tsimane; Gran Consejo Regional Mosenen). The radiation dose was low ( $\approx 2$  mSv), and all the participants were mature adults ( $\geq 40$  years).

The shape of the xyphoid process is extremely variable and details were not captured in this study. All uses of the phrase "sternal foramen" refer to a defect in the body of the sternum greater than 2 mm and do not reference any defects of the xiphoid process. No defects were observed in the manubrium.

## Results

Table 1 shows prevalence of sternal foramina within our four studied populations, both as crude prevalence and prevalence based on sex. The pooled South Amerindian population combines the data from the Tsimane and the Mosenen people, whereas the pooled North American population combines the data from the Native North American and Midwest American groups.

Overall, the odds ratio calculated per each population shows that the prevalence of sternal foramina is greater for males across populations examined controlling for age (OR = 1.904, 95% CI: 1.418–2.568,  $p < 0.001$ ). Tsimane and Mosenen have significantly higher crude prevalences of sternal foramen than Americans (OR<sub>Tsimane vs. US</sub> = 2.682, 95% CI: 1.756–4.098,  $p < 0.001$ ; OR<sub>Mosenen vs. US</sub> = 2.853, 95% CI: 1.791–4.546,  $p < 0.001$ ), controlling for age and sex. Compared to Native Americans, Tsimane and Mosenen had significantly higher crude prevalences of sternal foramen (OR<sub>Tsimane vs. Native American</sub> = 2.854, 95% CI: 1.225–6.650,  $p = 0.015$ ; OR<sub>Mosenen vs. Native American</sub> = 2.892, 95% CI: 1.210–6.914,  $p = 0.017$ ).

Table 2 shows prevalence of sternal foramina in each population divided into age cohorts. Cohorts were assigned by decades after the youngest scanned participant (40 years) and combining all patients 70 years and greater into a single cohort to compensate for the reduced patient population in higher decades associated with age-related mortality.

There is no significant difference in sternal foramen prevalence between Tsimane and Mosenen (Tables 1 and 2). In all studied groups, age was not associated with sternal foramen (Table 2), but male sex predicted higher odds (Table 1).

**Table 1** Sternal foramina prevalence, shown as the crude prevalence and prevalence by sex across populations ( $n=2037$ )

Population	Sternal foramen prevalence				Male biased OR	95% CI ( $p$ value)
	Crude prevalence	Prevalence by sex				
		Male ( $n=1036$ )	Female ( $n=1001$ )			
Tsimane ( $n=900$ )	12.78% ( $n=115$ )	16.38% (76/464)	8.94% (39/436)	2.000	1.326–3.016 ( $p=0.001$ )	
Moseten ( $n=434$ )	13.36% ( $n=58$ )	16.31% (38/233)	9.95% (20/201)	1.790	1.002–3.196 ( $p=0.049$ )	
Pooled South Amerindians ( $n=1334$ )	12.97% ( $n=173$ )	16.36% (114/697)	9.26% (59/637)	1.914	1.369–2.675 ( $p<0.001$ )	
Native North American ( $n=131$ )	4.35% ( $n=6$ )	7.69% (4/52)	2.53% (2/79)	3.225	0.567–18.341 ( $p=0.187$ )	
Midwest American ( $n=572$ )	5.07% ( $n=29$ )	5.92% (17/287)	4.21% (12/285)	1.432	0.671–3.057 ( $p=0.354$ )	
Pooled North Americans ( $n=703$ )	4.98% ( $n=35$ )	6.19% (21/339)	3.85% (14/364)	1.659	0.829–3.319 ( $p=0.153$ )	

**Table 2** Sternal foramen crude prevalence by age category and sex across populations ( $n=2037$ )

Population	Sternal foramen prevalence by age category (age in years at time of scan)							
	40–49 ( $n=637$ )		50–59 ( $n=624$ )		60–69 ( $n=476$ )		70–101 ( $n=300$ )	
	Male ( $n=301$ )	Female ( $n=336$ )	Male ( $n=333$ )	Female ( $n=291$ )	Male ( $n=243$ )	Female ( $n=233$ )	Male ( $n=158$ )	Female ( $n=142$ )
Tsimane ( $n=900$ )	12.4% (27/217)		11.1% (34/307)		12.8% (31/242)		17.2% (23/134)	
	15.4% (16/104)	9.7% (11/113)	15.2% (25/164)	6.3% (9/143)	15.4% (19/123)	9.2% (11/119)	20.5% (15/73)	13.1% (8/61)
Moseten ( $n=434$ )	12.6% (19/151)		18.4% (23/125)		9.1% (10/110)		12.5% (6/48)	
	14.7% (11/75)	10.5% (8/76)	23.2% (16/69)	12.5% (7/56)	11.3% (7/62)	6.3% (3/48)	14.8% (4/27)	9.5% (2/21)
Pooled South Amerindians ( $n=1334$ )	12.5% (46/368)		13.2% (57/432)		11.6% (41/352)		15.9% (29/182)	
	15.1% (27/179)	10.1% (19/189)	17.6% (41/233)	8.0% (16/199)	14.1% (26/185)	8.4% (14/167)	19.0% (19/100)	12.2% (10/82)
Native North American ( $n=131$ )	3.3% (1/30)		4.8% (2/42)		5.9% (2/34)		4.0% (1/25)	
	7.7% (1/13)	0.0% (0/17)	12.5% (2/16)	0.0% (0/26)	8.3% (1/12)	4.5% (1/22)	0.0% (0/10)	6.7% (1/15)
Midwest American ( $n=572$ )	5.0% (12/239)		4.0% (6/150)		5.6% (5/90)		6.5% (6/93)	
	7.3% (8/109)	3.1% (4/130)	4.8% (4/84)	3.0% (2/66)	6.5% (3/46)	4.5% (2/44)	4.2% (2/48)	8.9% (4/45)
Pooled North Americans ( $n=703$ )	4.8% (13/269)		4.2% (8/192)		5.6% (7/124)		5.9% (7/118)	
	7.4% (9/122)	2.7% (4/147)	6.0% (6/100)	2.2% (2/92)	6.9% (4/58)	4.5% (3/66)	3.4% (2/58)	8.3% (5/60)

## Discussion

Development of the sternum begins with the ventrolateral emergence of vertical mesenchymal bands, also referred to as the sternal bars, from the body wall (Moore et al. 2020). As the bars grow anteromedially around the forming chest cavity, they begin chondrification. By week ten of gestation, the two lateral cartilaginous sternal bars fuse

craniocaudally along the anterior median plane, forming cartilaginous masses of the manubrium, sternobrae (segments of the sternal body), and xiphoid process. Within weeks prior to full-gestation birth, the cartilaginous sternal body (presternum) begins to form bilateral ossification centers which turn the soft cartilage structure into a hard calcified flat bone matrix. These paired ossification centers fuse within the sixth to twelfth year of life,

and completely fuse vertically between the fused pairs by around the mid-second decade of life, forming a fully calcified sternum (Ashley 1956; Bayaroğulları et al. 2014; Choi et al. 2017; Cooper et al. 1988; Gumeler et al. 2019; Moore et al. 2020).

Formation of foramina in the sternum could result from two influences: an inherent decreased quantity of cartilaginous precursors (including an incomplete fusion of the cartilaginous lateral sternal bars) or a decreased capacity for the cartilaginous precursors to ossify (Ashley, 1956; Bayaroğulları et al. 2014; Choi et al. 2017; Cooper et al. 1988; Gumeler et al. 2019; Moore et al. 2020). Previous studies show Tsimane adult females have lower calcaneal and thoracic vertebral body mineral density than age-matched American adult females despite better cardiovascular health (Stieglitz et al. 2014; Stieglitz 2019). Additionally, the Tsimane do not enjoy the benefits of modern sanitation and have limited access to prenatal medical care; many pregnant Tsimane adult females may have suboptimal nutrition and/or vitamin and mineral deficiencies, including the documented average dietary calcium intake for both sexes being below 400 mg/day (Dinkel 2019; Kraft et al. 2018). The Mosesten, although currently more acculturated than the Tsimane, likewise did not enjoy the benefits of modern sanitation or prenatal care 40–90 years ago when the adult participants in this study would have been small children or in utero. Although bone density results from multiple

neuroendocrine and nutritional processes, the lowered bone density seen in the South Amerindians could be a contributing factor to their high prevalence of sternal foramen.

This is the largest study to date of sternal foramen prevalence, and in populations of different ancestry, and Table 3 shows relatively large individual reports from European populations and smaller series from African and South American populations. Evidence from the small studies in Brazil, Kenya, and Uganda show a higher prevalence of sternal foramen, all greater than 10% (Table 3). This finding is similar to the high prevalence rate found in our studied South Amerindian populations (13.0%). Conversely, the European studies in Germany, Greece, Turkey, and Serbia demonstrate a lower prevalence of sternal foramen, all less than 7% (Table 3). This finding is similar to the low prevalence rates found in our studied North American (5.4%) and our Native American populations (4.4%). Furthermore, our Native North American population demonstrates the lowest recorded prevalence, and our South Amerindian populations show the highest sternal foramen prevalence of any studied population, among living populations and cadaveric populations reviewed (Table 3). Consistent with prior studies, we found that sternal foramina are more common in males than females (Choi et al. 2017; Gkantsinikoudis et al. 2017). This finding was the case in each of our subgroups and all prior studies (Table 3).

**Table 3** Comparison of our data to published data from different populations regarding sternal foramen prevalence (including prevalence of males versus females)

	Sample size ( $n \geq 100$ )	Sternal foramen crude prevalence	Sex prevalence ratio (M: F)	Method
<b>Large populations</b>				
Pooled South Amerindians (Tsimane and Mosesten)	1334	13.0%	1.76 (16.4%: 9.3%)	CT
Rio de Janeiro, Brazil <sup>a</sup>	114	10.5%	1.67 (13.5%: 8.1%)	CT
Balikesir, Turkey <sup>b</sup>	912	6.6% (*8.4%)	1.79 (5.9%: 3.3%)	CT
Kragujevac, Serbia <sup>c</sup>	422	5.9%	1.26 (6.3%: 5.0%)	CT
Istanbul, Turkey <sup>d</sup>	500	5.2%	–	CT
Midwest Americans (MAHI)	572	5.1%	1.40 (5.9%: 4.2%)	CT
Istanbul, Turkey <sup>e</sup>	1000	4.5%	–	CT
Gottingen, Germany <sup>f</sup>	352	4.5%	–	CT
Native North Americans (MAHI)	131	4.4%	3.08 (7.7%: 2.5%)	CT
<b>Small populations</b>				
Kampala, Uganda <sup>g</sup>	85	12.9%	–	Cadaver
Nairobi, Kenya <sup>h</sup>	80	11.3% (*13.8%)	1.13 (11.9%: 10.5%)	Cadaver
E. Macedonia and Thrace, Greece <sup>i</sup>	35	5.7% (*14.2%)	–	Cadaver
Thessaloniki, Greece <sup>j</sup>	60	5.0% (*18.3%)	– (9.1%: 0%)	Cadaver

<sup>a</sup>Babinski et al. 2015; <sup>b</sup>Kuzucuoglu and Albayrak 2020; <sup>c</sup>Vulovic 2019; <sup>d</sup>Turkay et al. 2017; <sup>e</sup>Yekeler et al. 2006; <sup>f</sup>Gossner 2013; <sup>g</sup>Kirum 2017; <sup>h</sup>El-Busaid et al. 2012; <sup>i</sup>Gkantsinikoudis et al. 2017; <sup>j</sup>Paraskevas et al. 2015

\*Prevalence including foramina in xiphoid process

Crude prevalences are arranged from highest to lowest and segregated by significance into large and small population categories with a sample size threshold of 100 specimens/participants

With all populations showing no significant difference in sternal foramen prevalence between the adult age cohorts (Table 2), we support the hypothesis that the sternum completes its formation and full ossification prior to the third decade of life, and that the influences affecting the sternal foramen formation occur in early life. It is not possible to determine from our current investigation whether the higher observed prevalence of sternal foramina in the Tsimane and Mosesten is from a genetic variant or is related to in utero/early life environmental factors including high pathogen load and low energy surplus (Anderson 2019; Blackwell 2015, 2016; Hové 2020).

## Limitations

As noted above, it is not possible to determine the extent of causality in the differences in prevalences between the studied groups are a result of genetics, early life environmental factors, or a combination/interaction of both. Using current data, we can only speculate the precise mechanisms of this sternal defect and its influences on the differing prevalences. Our data focused on a single, relatively common sternal defect (sternal body) and did not catalog the full extent of sternal variations within our subjects. We are not able to compare the prevalence of sternal defects in the subgroups of U.S. White, Black, and Hispanic populations because of incomplete information about exact ethnicity in the MAHI database.

This study evaluated only the presence or absence of a sternal foramen within participants. Further research into the causality of sternal foramen formation would include documenting the exact diameter, shape, and location of sternal foramen found in participants of this study for comparison to other published data of differing populations.

Beyond the location of the imaging health system, the precise ethnicity and local environment for published populations in Table 3 are ambiguous. This lack of specificity prevents accurate comparisons to our studied population based on both genetic and environmental influences. Precise demographic data of populations from full genomic sequencing would be necessary to begin definitive discussions as to the causality of a sternal foramen and the differing prevalences between published populations.

Due to cardiac screening being the intention for collecting our study CT images, the chest CT field of view was not wide enough to view any long bones or cranial bones of any participants (Kaplan 2017). Use of these data could have aided in comparing Harris lines or dental enamel hypoplasia to sternal foramen presence and provided definitive lifetime nutritional timeline data for each individual where census of information is unreliable or unavailable (Beom et al. 2014; Sheetal et al. 2013).

## Conclusions

We found that two populations of South Amerindians, the Tsimane and Mosesten, have a high prevalence of sternal foramen defects, i.e., much higher than Midwest North American, Native American, and all other large, published samples from diverse continents. The prevalence of sternal foramen is also substantially higher in males than females in all four populations examined. These data, combined with prior reports of higher prevalence of sternal foramina in relatively small series from lower income countries in Africa and South America, raise the possibility that this defect may be related to early environmental factors (potential candidates include prenatal nutrition and pathogen exposure), perhaps in additive or interactive fashion with genetic variation.

**Acknowledgements** The authors thank the Tsimane and US participants, and the THLHP team for their relentless efforts. We also thank the *HORUS* Study Team for assistance in conducting the study.

**Author contributions** BDG: Data collection, data analysis, manuscript writing and editing; ADN: Data collection and data analysis; LJU: Manuscript writing and editing; BCT: Subject recruitment, data analysis, manuscript writing and editing; DKC: Data analysis, manuscript writing and editing; LSW: Data collection, data analysis, manuscript framing writing and editing; KRL: Data collection and manuscript editing; AM: Data collection and manuscript editing; DER: Data collection and manuscript editing; DEM: Data analysis and manuscript editing; CJR: Data collection and manuscript editing; CEF: Data gathering and critical manuscript editing; MLS: Data gathering and manuscript editing; JDS: Data gathering and manuscript editing; AHA: Data gathering and manuscript editing. JS: Subject recruitment, obtaining funding, data collection, and manuscript editing; MG: Protocol/project development, obtaining funding, data collection, and manuscript editing; HK: Protocol/project development, obtaining funding, data analysis, and manuscript editing; GST: Study concept, data analysis, and manuscript editing; RCT: Protocol/project development, data collection, data analysis, and manuscript writing and editing.

**Funding** The Tsimane Health and Life History Project (THLHP) is funded by the National Institute on Aging within the National Institute of Health (RF1AG054442 and 3RF1AG054442-02S2) and by National Science Foundation (1748282). RCT acknowledges funding from St. Luke's Hospital Foundation of Kansas City. BCS1440212). JS acknowledges the Institute for Advanced Study in Toulouse (IAST) funding from the French National Research Agency (ANR) under grant ANR-17-EURE-0010 (Investissements d'Avenir program).

## Declarations

**Conflict of interest** The authors declare that they have no conflict of interest.

**Ethical approval** This study was approved by the University of New Mexico and University of California, Santa Barbara human subject review committees and by the Institutional Review Board of St. Luke's Hospital of Kansas City.

**Consent to participate** Written informed consent was obtained from all Tsimane and Mosesten participants after the procedure and risks were explained to them in their respective native language, as well as from each village, and by the tribal governments (Gran Consejo Tsimane; Gran Consejo Regional Mosesten). Radiation dose was low ( $\approx 2$  mSv), and all participants were mature adults ( $\geq 40$  years). Informed consent for the US patients was waived by the St. Luke's Hospital IRB as the study was conducted by a retrospective chart review of existing medical records.

**Consent for publication** The authors affirm that human research participants provided informed consent for publication of their data.

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